

40853-01-2144 GJC
JIM-AW(KAD)



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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/576,506	04/18/2006	2877	815	40853-5144US1	33	2

CONFIRMATION NO. 6231

FILING RECEIPT



OC00000031871696

Date Mailed: 09/03/2008

DOCKETED

Request corrected filing receipt

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

Applicant(s)

Shawn DeFrees, North Wales, PA;
Robert J. Bayer, San Diego, CA;
Caryn Bowe, Doylestown, PA;
Krishnasamy Panneerselvam, Poway, CA;

Assignment For Published Patent Application

Neose Technologies, Inc., Horsham, PA

Power of Attorney: The patent practitioners associated with Customer Number 43850

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/14070 ~~05/06/2004~~ **12/03/2004**
which claims benefit of 60/527,089 12/03/2003
and claims benefit of 60/539,387 01/26/2004

(*)Data provided by applicant is not consistent with PTO records.

Foreign Applications

UNITED STATES OF AMERICA 60/592,744 07/29/2004
UNITED STATES OF AMERICA 60/614,518 09/29/2004
UNITED STATES OF AMERICA 60/623,387 10/29/2004

If Required, Foreign Filing License Granted: 09/02/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/576,506**

Projected Publication Date: 12/11/2008

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Glycopeglylated Factor Ix

Preliminary Class

356

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

Application Data Sheet

Application Information

Application number::

Filing Date::

April 18, 2006

Application Type::

Non-Provisional

Subject Matter::

Utility

Suggested classification::

Suggested Group Art Unit::

CD-ROM or CD-R??::

Number of CD disks::

Number of copies of CDs::

Sequence Submission::

Computer Readable Form (CRF)?::

Number of copies of CRF::

Title::

GLYCOPEGYLATED FACTOR IX

Attorney Docket Number::

40853-5144-US1

Request for Early Publication::

No

Request for Non-Publication::

No

Suggested Drawing Figure::

Total Drawing Sheets::

27

Small Entity?::

Yes

Latin name::

Variety denomination name::

Petition included?::

No

Petition Type::

Licensed US Govt. Agency::

Contract or Grant Numbers One::

Secrecy Order in Parent Appl.::

No

Applicant Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
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State or Province of mailing address:: PA
Country of mailing address:: US
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Country of mailing address:: US

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Country of Residence:: US
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Applicant Authority Type:: Inventor
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Status:: Full Capacity
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Family Name:: Panneerselvam
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State or Province of mailing address:: CA

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Postal or Zip Code of mailing address:: 92064

Correspondence Information

Correspondence Customer Number:: 043850

Representative Information

Representative Customer Number:: 043850

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This application	National Phase of	PCT/US2004/41070	12/03/04
PCT/US2004/41070	<i>An application claiming the benefit under 35 USC 119(e)</i>	60/527,089	12/03/03
PCT/US2004/41070	<i>An application claiming the benefit under 35 USC 119(e)</i>	60/539,387	01/26/04
PCT/US2004/41070	<i>An application claiming the benefit under 35 USC 119(e)</i>	60/592,744	07/29/04
PCT/US2004/41070	<i>An application claiming the benefit under 35 USC 119(e)</i>	60/614,518	09/29/04
PCT/US2004/41070	<i>An application claiming the benefit under 35 USC 119(e)</i>	60/623,387	10/29/04

Foreign Priority Information

Country::	Application number::	Filing Date::
WO	PCT/US2004/41070	December 3, 2004

Assignee Information

Assignee Name::
Street of mailing address::
City of mailing address::
State or Province of mailing address::
Country of mailing address::
Postal or Zip Code of mailing address::

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 40853-5144US1
		U.S. APPLICATION NO. (if known, see 37CFR 1.5) 10/576506
INTERNATIONAL APPLICATION NO. PCT/US2004/41070	INTERNATIONAL FILING DATE 03 December 2004	PRIORITY DATE CLAIMED 03 December 2003
TITLE OF INVENTION GLYCOPEGYLATED FACTOR IX		
APPLICANT(S) FOR DO/EO/US Shawn DEFREES, Robert J. BAYER, Caryn BOWE, Krishnasamy PANNEERSELVAM		


Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The U.S. has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☒ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Postcard, copies of Int'l. Search Report and 2 references cited thereon, Preliminary Amendment, 27 replacement figures

IAP15 Rec'd PCT/PTO 18 APR 2006

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/576506		INTERNATIONAL APPLICATION NO. PCT/US2004/41070		ATTORNEY'S DOCKET NUMBER 40853-5144US1	
21. The following fees are submitted:				Applicant Use	Office use only
<input checked="" type="checkbox"/>	a)	Basic national fee		\$ 300.00	
<input checked="" type="checkbox"/>	b)	Examination fee		\$ 200.00	
<input checked="" type="checkbox"/>	c)	Search fee		\$ 100.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 600.00	
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
141 - 100 =	41	1	x \$250.00	\$ 250.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	25 - 20 =	5	x \$50.00	\$ 250.00	
Independent claims	2 - 3 =	0	x \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,100.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 550.00	
SUBTOTAL =				\$ 550.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 550.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 550.00	
Amount to be refunded:					\$
Amount to be charged:					\$
<p>a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 50-0310 in the amount of \$550.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0310. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
SEND ALL CORRESPONDENCE TO:					
MORGAN, LEWIS & BOCKIUS LLP (Customer Number 43850)					
 SIGNATURE Jeffrey S. Mann NAME 42,837 REGISTRATION NUMBER					

Application Data Sheet

Application Information

Application number::	<u>10/576,506</u>
Filing Date::	April 18, 2006
Application Type::	Non-Provisional
Subject Matter::	Utility
Suggested classification::	
Suggested Group Art Unit::	
CD-ROM or CD-R??::	
Number of CD disks::	
Number of copies of CDs::	
Sequence Submission::	
Computer Readable Form (CRF)?::	
Number of copies of CRF::	
Title::	GLYCOPEGYLATED FACTOR IX
Attorney Docket Number::	40853-5144-US1
Request for Early Publication::	No
Request for Non-Publication::	No
Suggested Drawing Figure::	
Total Drawing Sheets::	27
Small Entity?::	Yes <u>No</u>
Latin name::	
Variety denomination name::	
Petition included?::	No
Petition Type::	
Licensed US Govt. Agency::	
Contract or Grant Numbers One::	
Secrecy Order in Parent Appl.::	No

Applicant Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
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Applicant Authority Type:: Inventor
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Family Name:: Bayer
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State or Province of mailing address:: CA
Country of mailing address:: US

Postal or Zip Code of mailing address:: 92122

Applicant Authority Type:: Inventor
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Given Name:: Caryn
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Family Name:: Bowe
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State or Province of Residence:: PA
Country of Residence:: US
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City of Mailing Address:: Doylestown
State or Province of mailing address:: PA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 18901

Applicant Authority Type:: Inventor
Primary Citizenship Country:: India
Status:: Full Capacity
Given Name:: Krishnasamy
Middle Name::
Family Name:: Panneerselvam
Name Suffix::
City of Residence:: Poway
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 14917 Morningside Dr.
City of Mailing Address:: Poway
State or Province of mailing address:: CA

Country of mailing address:: US
Postal or Zip Code of mailing address:: 92064

Correspondence Information

Correspondence Customer Number:: 043850

Representative Information

Representative Customer Number:: 043850

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This application	National Phase of	PCT/US2004/41070	12/03/04
PCT/US2004/41070	<i>An application claiming the benefit under 35 USC 119(e)</i>	60/527,089	12/03/03
PCT/US2004/41070	<i>An application claiming the benefit under 35 USC 119(e)</i>	60/539,387	01/26/04
PCT/US2004/41070	<i>An application claiming the benefit under 35 USC 119(e)</i>	60/592,744	07/29/04
PCT/US2004/41070	<i>An application claiming the benefit under 35 USC 119(e)</i>	60/614,518	09/29/04
PCT/US2004/41070	<i>An application claiming the benefit under 35 USC 119(e)</i>	60/623,387	10/29/04

Foreign Priority Information

Country::	Application number::	Filing Date::
WO	PCT/US2004/41070	December 3, 2004

Assignee Information

Assignee Name::
Street of mailing address::
City of mailing address::
State or Province of mailing address::
Country of mailing address::
Postal or Zip Code of mailing address::